

# FACTSHEET – September 17, 2020

## Prime Treatments, Testing and Advancements Index

### DESCRIPTION

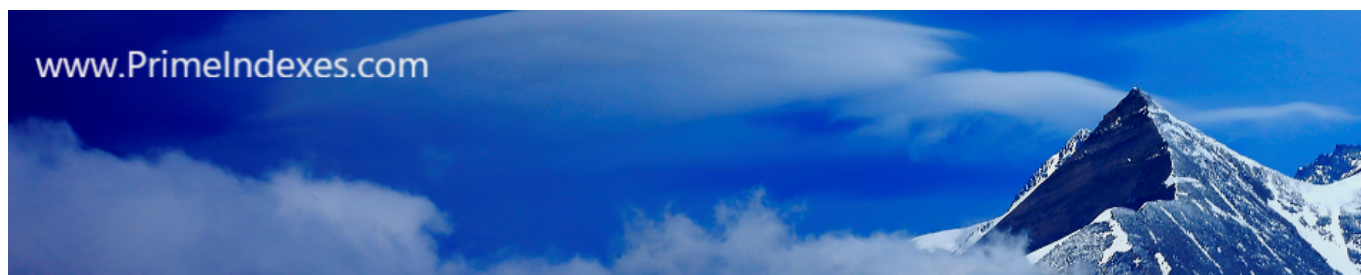
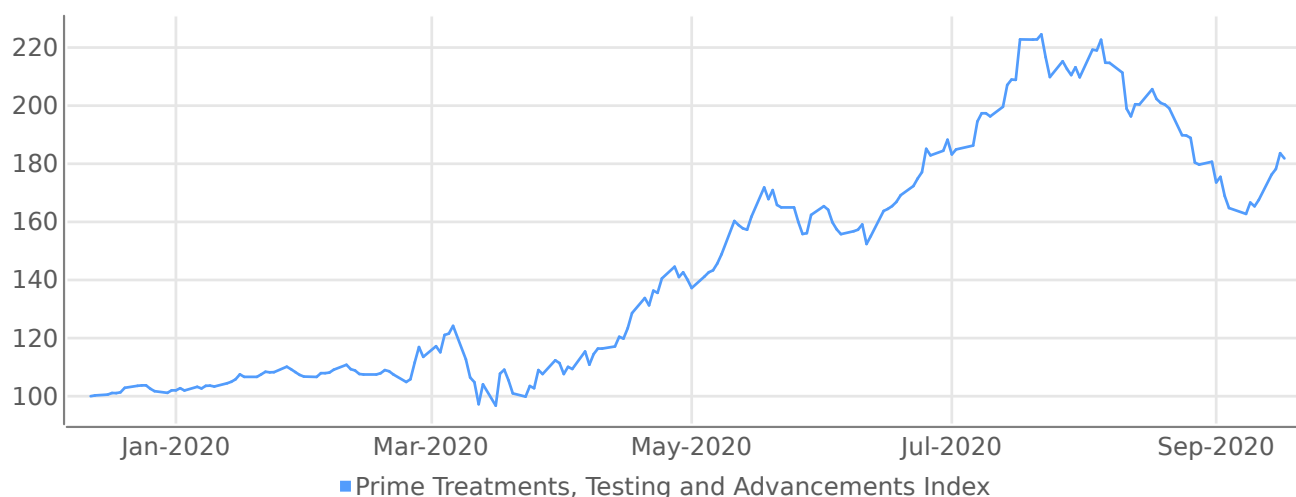
Throughout history, infectious diseases of all types have plagued society. Some of these have become so infamous, that they were defining moments of an entire generation. Research indicates that antibiotic-resistant microbes jump across species more easily than expected. Unfortunately, the list of infectious diseases continues to grow, whether it's due to population changes, growth in international travel, demand on food supply, or the convergence of animal and human health.

The COVID-19 pandemic is further proof that country borders don't matter. What matters is a frontline of defense that includes a robust pipeline of research and development for therapies, vaccines and tests. The market size of this industry is already estimated to be in the tens of billions of dollars, and is the focus of both small cap and large cap companies with an aggregate capitalization in the hundreds of billions USD. The Prime Treatments, Testing and Advancements index measures the performance of companies on this frontline and serves as a barometer for the entire industry.

The Prime Treatments, Testing and Advancements Index was designed to measure the performance of companies that are engaged in the following areas: performing research, development, and commercialization of treatments or vaccines for infectious diseases, or ii) the research, development, manufacturing, or provision of biological tests for patients.

The index is calculated on a Price Return, Net Total Return, and Gross Total Return basis. The data included herein uses the Gross Total Return version of the index.

### HISTORICAL PERFORMANCE



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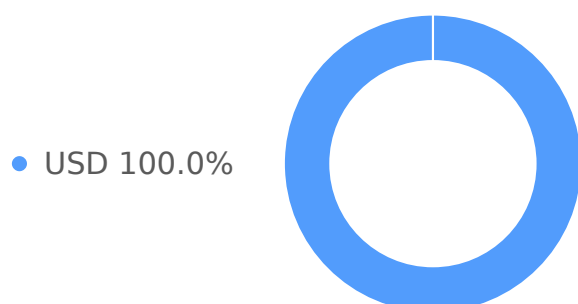
### CHARACTERISTICS

ISIN / WKN	DE000SL0A030 / SL0A03	Base Value / Base Date	100 Points / 12.12.2019
Bloomberg / Reuters	PGERM Index / .PGERM	Last Price	181.84
Index Calculator	Solactive AG	Dividends	Reinvested
Index Type	Total Return	Calculation	09:30 am to 04:50 pm (EST), every 15 seconds
Index Currency	USD	History	Available daily back to 12.12.2019
Index Members	56		

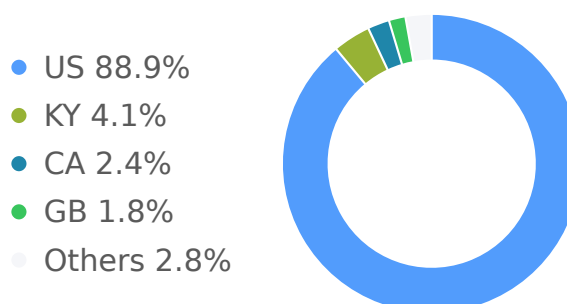
### STATISTICS

	30D	90D	180D	360D	YTD	Since Inception
Performance	-10.13%	7.49%	80.09%	-	78.24%	81.84%
Performance (p.a.)	-	-	-	-	-	118.15%
Volatility (p.a.)	38.79%	39.28%	41.00%	-	43.97%	42.70%
High	202.33	224.54	224.54	-	224.54	224.54
Low	162.74	162.74	99.85	-	96.75	96.75
Sharpe Ratio	-1.88	0.86	5.60	-	2.82	2.76
Max. Drawdown	-19.57%	-27.52%	-27.52%	-	-27.52%	-27.52%
VaR 95 \ 99				-63.9% \ -119.3%		-63.9% \ -119.3%
CVaR 95 \ 99				-95.8% \ -154.5%		-95.8% \ -154.5%

### Composition by Currencies



### Composition by Countries

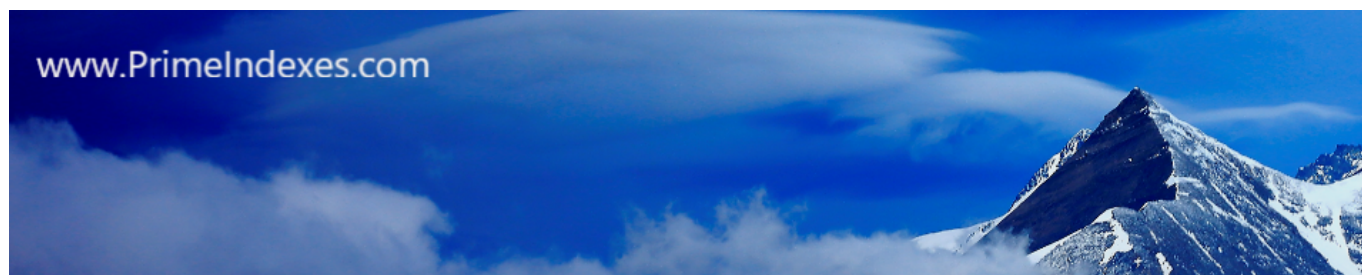


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### COMPONENTS AS OF 17-Sep-2020

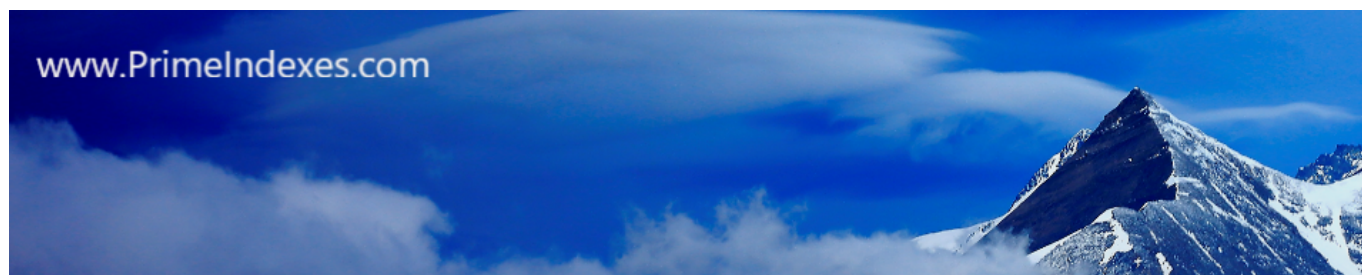
Company	Ticker	Country	Currency	Index Weight (%)
NOVAVAX INC	NVAX UW Equity	US	USD	8.89%
MODERNA INC	MRNA UW Equity	US	USD	5.94%
BIO-RAD LABORATORIES INC	BIO UN Equity	US	USD	5.79%
ALNYLAM PHARMACEUTICALS INC	ALNY UW Equity	US	USD	5.19%
LABORATORY CRP OF AMER HLDGS	LH UN Equity	US	USD	5.19%
EMERGENT BIOSOLUTIONS INC	EBS UN Equity	US	USD	5.10%
QUEST DIAGNOSTICS INC	DGX UN Equity	US	USD	4.98%
BIONTECH SE	BNTX UW Equity	US	USD	4.87%
ZAI LAB LTD	ZLAB UQ Equity	KY	USD	4.08%
ADAPTIVE BIOTECHNOLOGIES CORP	ADPT UW Equity	US	USD	3.92%
QUIDEL CORP	QDEL UW Equity	US	USD	3.76%
INOVIO PHARMACEUTICALS INC	INO UW Equity	US	USD	3.55%
VIR BIOTECHNOLOGY INC	VIR UW Equity	US	USD	3.07%
I-MAB	IMAB UQ Equity	US	USD	2.94%
OPKO HEALTH INC	OPK UW Equity	US	USD	2.64%
DICERNA PHARMACEUTICALS INC	DRNA UW Equity	US	USD	2.04%
LUMINEX CORP	LMNX UW Equity	US	USD	1.67%
ARCTURUS THERAPEUTICS HOLDINGS INC	ARCT UQ Equity	IL	USD	1.48%
ENANTA PHARMACEUTICALS INC	ENTA UW Equity	US	USD	1.31%
VBI VACCINES INC	VBIV UR Equity	CA	USD	1.23%
ORASURE TECHNOLOGIES INC	OSUR UW Equity	US	USD	1.17%
NANTKWEST INC	NK UW Equity	US	USD	1.12%
BIOCRIST PHARMACEUTICALS INC	BCRX UW Equity	US	USD	0.95%
MERIDIAN BIOSCIENCE INC	VIVO UW Equity	US	USD	0.92%
ASSEMBLY BIOSCIENCES INC	ASMB UW Equity	US	USD	0.88%
VAXART INC	VXRT UR Equity	US	USD	0.87%
DYNAVAX TECHNOLOGIES CORP	DVAX UR Equity	US	USD	0.83%
XBIOTECH INC	XBIT UW Equity	CA	USD	0.82%
SIGA TECHNOLOGIES INC	SIGA UQ Equity	US	USD	0.82%
ABBOTT LABORATORIES	ABT UN Equity	US	USD	0.76%
ASTRAZENECA PLC-SPONS ADR	AZN UN Equity	GB	USD	0.71%
MERCK & CO. INC.	MRK UN Equity	US	USD	0.68%
CEL-SCI CORP	CVM UA Equity	US	USD	0.68%
SANOFI-ADR	SNY UW Equity	FR	USD	0.68%
CUE BIOPHARMA INC	CUE UR Equity	US	USD	0.68%
ELI LILLY & CO	LLY UN Equity	US	USD	0.68%
PFIZER INC	PFE UN Equity	US	USD	0.67%
TAKEDA PHARM-ADR	TAK UN Equity	JP	USD	0.66%
JOHNSON & JOHNSON	JNJ UN Equity	US	USD	0.66%
BRISTOL-MYERS SQUIBB CO	BMJ UN Equity	US	USD	0.64%
ABBVIE INC	ABBV UN Equity	US	USD	0.63%



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Company	Ticker	Country	Currency	Index Weight (%)
REGENERON PHARMACEUTICALS	REGN UW Equity	US	USD	0.62%
GLAXOSMITHKLINE PLC-SPON ADR	GSK UN Equity	GB	USD	0.62%
CO-DIAGNOSTICS INC	CODX UR Equity	US	USD	0.57%
GILEAD SCIENCES INC	GILD UW Equity	US	USD	0.56%
ATHERSYS INC	ATHX UR Equity	US	USD	0.56%
OXFORD IMMUNOTEC GLOBAL PLC	OXFD UQ Equity	GB	USD	0.48%
IBIO INC	IBIO UA Equity	US	USD	0.44%
PARATEK PHARMACEUTICALS INC	PRTK UQ Equity	US	USD	0.40%
HOOKIPA PHARMA INC	HOOK UW Equity	US	USD	0.39%
ARBUTUS BIOPHARMA CORP	ABUS UW Equity	CA	USD	0.30%
CHIMERIX INC	CMRX UQ Equity	US	USD	0.22%
CONTRAFECT CORP	CFRX UR Equity	US	USD	0.19%
CORMEDIX INC	CRMD UA Equity	US	USD	0.18%
ENZO BIOCHEM INC	ENZ UN Equity	US	USD	0.15%
PHASEBIO PHARMACEUTICALS INC	PHAS UQ Equity	US	USD	0.15%





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