

MARKET CONSULTATION SOLACTIVE SOFI US 500 GROWTH INDICES

02 October 2019



Content of the Market Consultation

Solactive AG has decided to conduct a Market Consultation with regard to changing the Index Methodology of the following Indices (the 'Indices'):

NAME	RIC	ISIN
Solactive SoFi US 500 Growth Index	.SOLSFY	DE000SLA71W9
Solactive SoFi US Next 500 Growth Index	.SOLSFYX	DE000SLA71X7

Rationale for the Market Consultation

Solactive suggests the following change to guarantee the diversification of the indices. Solactive wants to cap the final weights, so that cumulative weights for securities in excess of 5% are capped at 5%, the excess being redistributed to other securities with a weight of less than 5%.

Proposed Change/(s) to the Index Guideline

The following Methodology changes are proposed in the following points of the Index Guideline (ordered in accordance with the numbering of the affected sections):

Introduction

From:

The INDEX is owned, calculated, administered and published by Solactive AG ("**SOLACTIVE**") assuming the role as administrator (the "**INDEX ADMINISTRATOR**") under the Regulation (EU) 2016/1011 (the "**BENCHMARK REGULATION**" or "**BMR**"). The name "Solactive" is trademarked.

To:

The INDEX is owned, calculated, administered and published by Solactive AG ("**SOLACTIVE**") assuming the role as administrator (the "**INDEX ADMINISTRATOR**") within the meaning of the Principles for Financial Benchmarks published by the International Organization of Securities Commissions in July 2013. The name "Solactive" is trademarked.

Section 2.3: Weighting of the Index Components



From:

[...]

$$w_{i,t} = \frac{z_{i,t}^{final} * MCAP_{i,t}}{\sum_{i=1}^N (z_{i,t}^{final} * MCAP_{i,t})}$$

[...]

To:

[...]

$$w_{i,t} = \frac{z_{i,t}^{final} * MCAP_{i,t}}{\sum_{i=1}^N (z_{i,t}^{final} * MCAP_{i,t})}$$

Cumulative Weights of the INDEX COMPONENTS in excess of 5% are capped at 25% and the excess weight will be redistributed proportionally among all INDEX COMPONENTS with a weight of less than 5%.

[...]

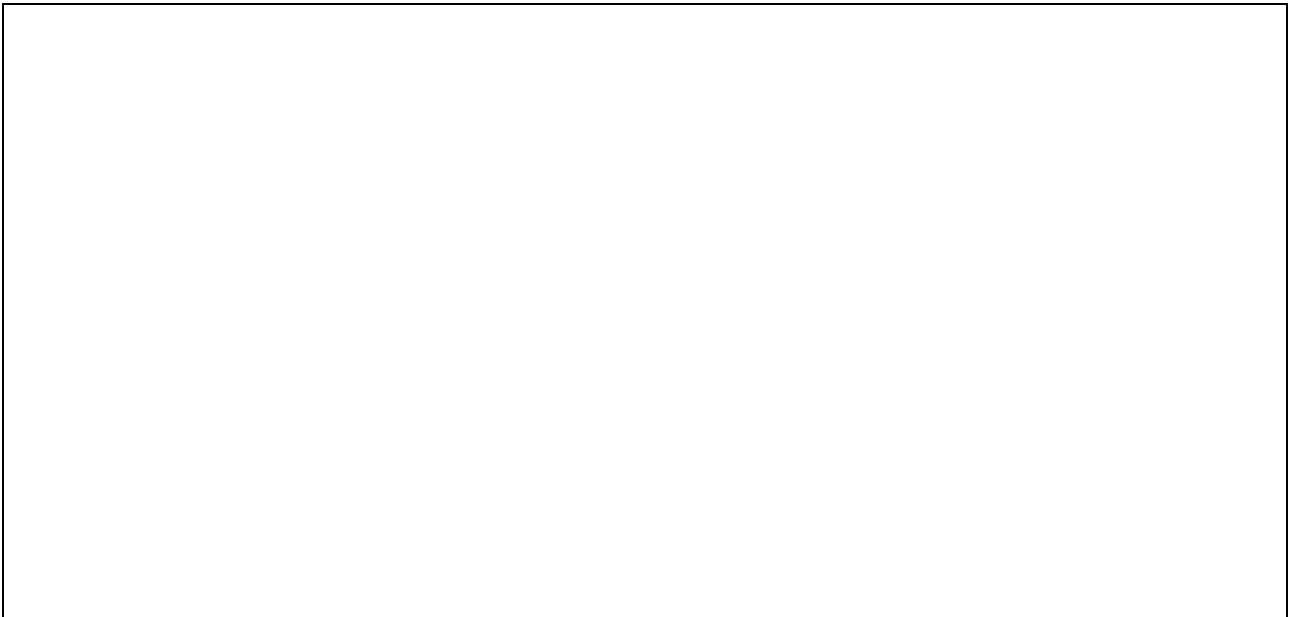
Feedback on the proposed changes

If you would like to share your thoughts with Solactive, please use this consultation form and provide us with your personal details and those of your organization.

Name	
Function	
Organization	
Email	
Phone	
Confidentiality (Y/N)	



Solactive is inviting all stakeholders and interested third parties to evaluate the proposed changes to the Methodology for the Solactive SoFi US 500 Growth Indices and welcomes any feedback on how this may affect and/or improve their use of Solactive indices.



Consultation Procedure

Stakeholders and third parties who are interested in participating in this Market Consultation, are invited to respond until *2026-01-29*.

Subject to feedback received on this Market Consultation, the changes mentioned above are intended to become effective on *2026-02-12*.

Please send your feedback via email to marketconsultation@solactive.com, specifying "Market Consultation Solactive SoFi US 500 Growth Indices" as the subject of the email, or

via postal mail to: Solactive AG
Platz der Einheit 1
60327 Frankfurt am Main
Germany

Should you have any additional questions regarding the consultative question in particular, please do not hesitate to contact us via above email address.

