

# ANNOUNCEMENT – INSTRUMENT IDENTIFIER CHANGES IN OPENING FILES

17 November 2023

## OBJECTIVE

Solactive AG has decided to enhance its Opening Files for Equity Indices with an effective date of **January 13, 2024**.

The respective enhancement aims to reflect the instrument identifier changes that are triggered as a result of the related corporate actions, in the Opening Files. The Opening Files would thereby reflect the appropriate identifier changes on the date at which the changes become effective.

With the current setup, Solactive AG provides the updated instrument identifiers in Closing Files created after the trading hours in the related market as of the effective date of these changes. This enhancement will allow the consumers of our daily files to see the forecasted instrument identifiers prior to the trading hours on the effective date of these changes.

## CONTENT

Any identifier change will be reflected that is actively monitored by Solactive AG for the asset class "Equity".

- Instrument Name
- Instrument ISIN
- Instrument RIC
- Instrument Ticker
- Instrument SEDOL
- Instrument CUSIP
- Instrument Country of Incorporation

It is important to note that Opening Files will contain the updated instrument identifiers, if the updates are available for Solactive AG at the latest, one business day before the effective date of the identifier change. Solactive AG will not reproduce the Opening File if any update is missing or erroneous.

## SCOPE AND TIMING

As of **January 13, 2024**, Solactive AG will provide the updated instrument identifiers in Opening Files produced for all indices that follow the index calculation methodology described in Section 1.2.1 and 1.2.2 of the Solactive Equity Index Methodology available on <https://www.solactive.com/documents/>.

The respective enhancement in Opening Files is limited by the file contents and will not lead to any changes on the file structure or naming convention.

# CONTACT

**Solactive AG**  
**German Index Engineering**

Platz der Einheit 1  
60327 Frankfurt am Main  
Germany

Tel.: +49 (0) 69 719 160 00

Fax: +49 (0) 69 719 160 25

Email: [info@solactive.com](mailto:info@solactive.com)

Website: [www.solactive.com](http://www.solactive.com)

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