

## Press Release

### UBS Launches ETN Tracking Solactive Whitney U.S. Critical Technologies Index

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**FRANKFURT AM MAIN – Solactive is pleased to announce that UBS Investment Bank listed the new ETRACS Whitney US Critical Technologies ETN, tracking the Solactive Whitney U.S. Critical Technologies Index. The launch marks the first engagement of Solactive with J.H. Whitney Data Services LLC.**

Unique in its selection mechanism and risk-assessment, the index intends to track companies that support critical emerging technologies across the U.S. and its allies. The companies that compose the index are selected based on affiliation with the modernization priorities and their geostrategic risk rating score. The industry affiliation maps designated technology priorities to granular industry classifications, and the geostrategic risk rating score measures entanglement in risky countries, as designated by the U.S. government. The result is a diversified constituent list who align to U.S. modernization priorities with minimized geopolitical risk from sanctions, trade, and conflict.

The Solactive GBS Developed Markets Large & Mid Cap USD Index forms the basis of the index universe, which consists of large and mid-cap companies in developed market countries. To be included in the index, companies must be associated with one of the 14 critical technology sectors and receive a high geostrategic risk rating score, as determined by the selection criteria.

The ETN listed on NYSE Arca.

Timo Pfeiffer, Chief Markets Officer at Solactive, commented: *"The innovative and transformative nature of critical emerging technologies offers significant potential for growth and diversification, as it provides exposure to a broad range of companies in this sector. Solactive is pleased to have partnered with J.H. Whitney for the creation of the Solactive Whitney U.S. Critical Technologies Index and we look forward to continuing our long-term collaboration with UBS."*

John O'Connor, Chairman & CEO at JH Whitney Investment Management said: *"The Solactive Whitney U.S. Critical Technologies Index is a pioneering benchmark that uniquely factors in the emerging geopolitical landscape and the associated risks and opportunities for companies and investors alike."*

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## Note to editors

### About Solactive

Solactive is a leading provider of indexing, benchmarking, and calculation solutions for the global investment and trading community. Headquartered in Frankfurt and, with offices in Hong Kong, Toronto, Berlin, and Dresden, we innovate and disrupt the status quo as the partner of choice for our clients.

The unique blend of our 280 staff's expertise in data, data science, financial markets, and technology enables our clients' continued success through the delivery of a superior experience, unique customization capabilities, and the best value for money available in the industry. With more than 25,000 indices calculated daily, we offer a full suite of solutions, including market leading ESG and thematic indices.

As at January 2023, Solactive served approximately 500 clients across the world, with approximately US\$250 billion invested in products linked to our indices. Solactive is registered with ESMA as a benchmark administrator and is supervised by the BaFin.

### About JH Whitney Data Services

J.H. Whitney Data Services (JHWDS) specializes in providing analysis of the interaction of geopolitical and financial events to enable its clients to address geostrategic risk challenges. The JHWDS team includes experienced financial, legal, and former US Government (USG) intelligence professionals who provide data-driven insights by applying their experience and expertise in global macroeconomics, public policy, and capital markets. These insights enable the continuous assessment of nation-state competition and other geopolitical factors affecting security, supply chains, and other business operations.

### Disclaimer

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