

## Press Release

### AZTLAN Equity Management Launches AZTLAN Global Stock Selection DM SMID ETF, Tracking Solactive Index

7 September 2022

**FRANKFURT AM MAIN – Solactive is pleased to announce its first engagement with AZTLAN Equity Management, LLC (AZTLAN), an independent investment management firm specializing in global small and mid-cap (SMID) equity strategies. In partnership with Tidal ETF Services, LLC (Tidal), AZTLAN launches the AZTLAN Global Stock Selection DM SMID ETF. It seeks to track the performance of the Solactive Aztlan Global Developed Markets SMID Cap Index, which uses a factor-based approach.**

The **Solactive Aztlan Global Developed Markets SMID Cap Index** is a representation of securities from the developed markets' small and mid-cap segments, selected based on a combination of equity risk factors.

The starting universe of the index is composed of securities from three developed markets (North America, Western Europe, and Asia) and nine sectors (Materials, Industrials, Consumer Discretionary, Consumer Staples, Health Care (excluding pharmaceutical industry or sub-sector), Financials, Information Technology, Communication Services, and Utilities). It then equally weights six factors (value, cash flow, capital structure, growth, earning per share ("EPS") revisions, and price momentum), resulting in a portfolio of 27 securities of the top-ranked companies within each of the three regions and nine sectors noted above.

The ETF listed on the **New York Stock Exchange (NYSE)** under the ticker **AZTD**.

Timo Pfeiffer, Chief Markets Officer of Solactive, comments: *"Multifactor-based investment offers exposure to stocks with different features. It has been recently gaining attention from investors as a way of diversifying their portfolio in volatile times. We are very grateful for AZTLAN Equity Management's trust in our services, and we cannot wait to provide them with more indices for future products going forward."*

Alejandro Garza, AZTLAN's Founder and Portfolio Manager, comments: *"Given the wide range of broad-based products available to investors, we found it timely to launch an Exchange Traded Fund that is highly concentrated and focused on fundamentals. We believe investors are in need of differentiated products and AZTLAN prides itself on its deep knowledge and experience in the SMID cap space."*

**Solactive AG**  
**German Index Engineering**  
Platz der Einheit 1  
60327 Frankfurt am Main  
Germany

**Steffen Scheuble**  
**CEO**  
+49 (69) 719 160-20  
[steffen.scheuble@solactive.com](mailto:steffen.scheuble@solactive.com)

**Lindsay Wang**  
**Director Americas Sales**  
+1 (647) 608-8446  
[lindsay.wang@solactive.com](mailto:lindsay.wang@solactive.com)

**Maria Seifert**  
**PR & Communications**  
+49 (69) 719 160-311  
[maria.seifert@solactive.com](mailto:maria.seifert@solactive.com)

Eric Falkeis, Chief Growth Officer at Tidal, says: "Tidal is honored to partner with the team at AZTLAN and excited to bring such a unique product to market. We're looking forward to not only launching such an interesting fund but growing it over the next several months and years."

For more information, please visit:

<http://www.solactive.com>

Note to editors

### About Solactive

Solactive is a leading provider of indexing, benchmarking, and calculation solutions for the global investment and trading community. Headquartered in Frankfurt and with offices in Hong Kong, Toronto, Berlin, and Dresden, we innovate and disrupt the status quo as the partner of choice for our clients.

The unique blend of our 250 staff's expertise in data, data science, financial markets, and technology enables our clients' continued success through the delivery of a superior experience, unique customization capabilities, and the best value for money available in the industry. With more than 20,000 indices calculated daily, we offer a full suite of solutions, including market-leading ESG and thematic indices.

As at January 2022, Solactive served approximately 500 clients across the world, with approximately US\$250 billion invested in products linked to our indices. Solactive is registered with ESMA as a benchmark administrator and is supervised by the BaFin.

### Disclaimer

The information in this document does not constitute tax, legal or investment advice and is not intended as a recommendation for buying or selling securities. Solactive AG and all other companies mentioned in this document are not responsible for the consequences of reliance upon any opinion or statement contained herein or for any omission.

Solactive AG, Platz der Einheit 1, 60327 Frankfurt am Main, Germany. Registered Office: Frankfurt am Main, Registration Court: Amtsgericht Frankfurt am Main, HRB: 79986, USt-IdNr.: DE 255 598 976. Management Board: Steffen Scheuble, Alexander Steiner, and Timo Pfeiffer, Head of Supervisory Board: Dr Felix Mühlhäuser.

**Solactive AG**  
**German Index Engineering**  
Platz der Einheit 1  
60327 Frankfurt am Main  
Germany

**Steffen Scheuble**  
**CEO**  
+49 (69) 719 160-20  
[steffen.scheuble@solactive.com](mailto:steffen.scheuble@solactive.com)

**Lindsay Wang**  
**Director Americas Sales**  
+1 (647) 608-8446  
[lindsay.wang@solactive.com](mailto:lindsay.wang@solactive.com)

**Maria Seifert**  
**PR & Communications**  
+49 (69) 719 160-311  
[maria.seifert@solactive.com](mailto:maria.seifert@solactive.com)

## Important information

Investing in securities involves risk and there is no guarantee of principal.

Investors should consider the investment objectives, risks, charges and expenses carefully before investing. For a prospectus or summary prospectus with this and other information about the Fund, please call (800) 886-4107 or visit our website at [www.aztlanetfs.com](http://www.aztlanetfs.com) Read the prospectus or summary prospectus carefully before investing.

**Foreign Securities Risk.** The Fund invests in foreign market securities which involves certain risks such as currency volatility, political and social instability, and reduced market liquidity. **Mid and Small Capitalization Stock Risk.** The value of mid and small capitalization company stocks or ETFs that invest in stocks of mid and small capitalization companies may be subject to more abrupt or erratic market movements. **Concentrated Portfolio Risk.** The Fund will be concentrated in a limited number of securities, and therefore, may be more volatile and have a greater risk of loss than broadly diversified funds. **High Portfolio Turnover Risk.** A high portfolio turnover rate increases transaction costs, which may increase the Fund's expenses, increase brokerage costs, and may cause higher net taxable gains for shareholders. **Passive Management Risk.** The Fund is passively managed and attempts to mirror the composition and performance of the Solactive Aztlan Global Developed Markets SMID Cap Index. **New Fund Risk.** The Fund is a new ETF and has only recently commenced operations.

Shares of any ETF are bought and sold at market price (not NAV) and may trade at a discount or premium to NAV. Shares are not individually redeemable from the Fund and may be only be acquired or redeemed from the fund in creation units. Brokerage commissions will reduce returns.

Solactive Aztlan Global Developed Markets SMID Cap Index: Representation of securities from the developed markets small and mid-cap segment, selected based on a combination of equity risk factors. The INDEX is calculated as a price return, net total return, and gross total return Index

Distributed by Foreside Fund Services, LLC.

**Solactive AG**  
**German Index Engineering**  
Platz der Einheit 1  
60327 Frankfurt am Main  
Germany

**Steffen Scheuble**  
**CEO**  
+49 (69) 719 160-20  
[steffen.scheuble@solactive.com](mailto:steffen.scheuble@solactive.com)

**Lindsay Wang**  
**Director Americas Sales**  
+1 (647) 608-8446  
[lindsay.wang@solactive.com](mailto:lindsay.wang@solactive.com)

**Maria Seifert**  
**PR & Communications**  
+49 (69) 719 160-311  
[maria.seifert@solactive.com](mailto:maria.seifert@solactive.com)