

DISCLOSURE OF ADDITIONAL ESG INFORMATION IN ACCORDANCE WITH REQUIREMENTS FROM THE SECURITIES AND FUTURES COMMISSION OF HONG KONG

Solactive China Clean Energy Index

Version 1.1
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This document provides additional information on the Solactive China Clean Energy Index PR/NTR/TR in accordance with Section F. of the [Circular to management companies of SFC-authorized unit trusts and mutual funds - ESG funds](#) and is published on the Solactive website on behalf of the Global X China Clean Energy ETF.

Name of the Index Provider	Solactive AG
Index Name	Solactive China Clean Energy Index PR/NTR/TR
Index ISIN	Solactive China Clean Energy Index PR: DE000SLA9KC9 Solactive China Clean Energy Index NTR: DE000SLA9KD7 Solactive China Clean Energy Index TR: DE000SLA9KE5
Has the Index incorporated ESG factors as its key investment focus?	Yes
Key investment theme¹	Sustainable Energy
Key investment strategy²	Thematic
Description of the ESG focus	Investing in companies headquartered in mainland China or Hong Kong that are active in the field of clean energy, which contribute to climate change mitigation or adaptation
Is the ESG focus aligned with ESG criteria or principles recognized globally or nationally?	<ul style="list-style-type: none"> • The constituents of the Index have significant exposure to "Affordable and Clean Energy" and its supply chain. • "Affordable and Clean Energy" is one of the 17 United Nations Sustainable Development Goals, which is to ensure access to affordable, reliable, sustainable and modern energy. The United Nations Sustainable Development Goals are designed to be the blueprint to achieve a better and more sustainable future for all. For more details please refer to: https://www.un.org/sustainabledevelopment/sustainable-development-goals/
The methodologies adopted to measure the ESG focus/due diligence carried out in respect of	<ul style="list-style-type: none"> • Must be classified in one of the following subindustries based on FactSet Revere Business Industry Classification System ("RBICS"): Wind Energy Equipment Manufacturing, Photovoltaic and Solar Cells and Systems Providers, Fuel Cell Equipment and Technology

¹ In accordance with Annex 2(c)(i) to Circular to management companies of SFC-authorized unit trusts and mutual funds - ESG funds

² In accordance with Annex 2(c)(ii) to Circular to management companies of SFC-authorized unit trusts and mutual funds - ESG funds



<p>the ESG-related attributes of the constituents</p>	<p>Providers, Smart Grid Technology and Smart Meter Products, Mixed Renewable Energy Generation Manufacturing, China Mixed Alternative Wholesale Power, China Biomass Wholesale Power, China Decentralized Wholesale Power, China Geothermal Wholesale Power, China Hydroelectric Wholesale Power, China Solar Wholesale Power, China Wind Wholesale Power, Process Plants, Utilities and Energy Construction, Electronic Materials Manufacturing, Other Front End Processing Equipment Makers, Flat Panel Display-Specific Equipment Makers, Hazardous/Industrial Waste Disposal, Wires and Cables Manufacturing, Machine Tools Manufacturing, Lasers and Optical Instrument Manufacturing, Backup, Emergency and Standby Power Products, Architectural Glass Manufacturing, Other Industrial Electrical Product Manufacturing, Transportation Construction, Power Generation/Support Products Manufacturing, Stamping and Forging Shops, Ball and Roller Bearings Products, Electrical Systems and Equipment Manufacturing</p> <ul style="list-style-type: none"> • For these companies, only those with significant exposure to clean energy are eligible for inclusion. Identification is based on the company description included in FactSet, and keywords that indicate significant exposure to clean energy and its related supply chain. The keywords are subject to regular revision by the Oversight Committee • As an exclusion policy, companies (i) with insignificant exposure to clean energy and its related supply chain; and/or (ii) which engage in business(es) that may have adverse environmental impact are identified and removed from the list of eligible constituents. Identification is based on the company description included in FactSet and the "exclusion" keywords indicating limited or no exposure to clean energy and its related supply chain. The exclusion keywords are subject to regular revision by the Oversight Committee
<p>Rebalancing mechanism to ensure that the Index reflects the ESG focus</p>	<ul style="list-style-type: none"> • The Index is rebalanced semi-annually effective as of the second Friday in January and July (and if that day is not a trading day, the immediately following trading day) ("Rebalance Day") • The Index constituents will be determined on the relevant "Selection Day", which is 10 weekdays (i.e. Monday to Friday) before each Rebalance Day
<p>Data Input</p>	<ul style="list-style-type: none"> • FactSet Revere Business Industry Classification System ("RBICS") data and company descriptions used to identify clean energy-related companies are sourced externally from FactSet Research Systems Inc. • Keywords that indicate (i) significant and (ii) limited or no exposure to clean energy and its related supply chain are provided internally by Solactive AG

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