

MARKET CONSULTATION- SOLACTIVE GLOBAL INNOVATION INDEX

19 March 2021



Content of the Market Consultation

Solactive AG has decided to conduct a Market Consultation with regard to changing the Index Methodology of the following Indices (the 'Affected Indices'):

NAME	RIC	ISIN
Solactive Global Innovation GTR Index	.SOLEGET	DE000SLA5FJ2
Solactive Global Innovation NTR Index	.SOLEGEN	DE000SLA5FK0
Solactive Global Innovation PR Index	.SOLEGE	DE000SLA5FL8

Rationale for Market Consultation

The Affected Indices provide exposure to companies that are involved in innovative and disruptive trends across a broad range of industries. The Affected Indices classify the trends in several themes and a company is eligible for inclusion if it derives its assets or revenue from one of these themes. As technological trends constantly evolve, the themes need to be reviewed on continuous basis for the adjustments. Therefore, Solactive AG has proposed the following changes to the Index Methodology of the Affected Indices.

Proposed Changes to the Index Guideline

The following methodology changes are proposed in the following points of the Index Guideline (ordered in accordance with the numbering of the affected sections):

1. Section 2.1: Index Universe Requirements

From (old version):

[...]

2. Companies must be classified under any of the following FactSet Revere Business Industry Classification System ("RBICS") sub-industries:

Communication and Collaboration Content Sites, Web Search Sites and Software, Enterprise Management Software, Blockchain Technology, Cryptocurrency Mining, Cryptocurrency Trading / Exchanges, Hematological Oncology Biopharmaceuticals, Other Oncology Biopharmaceuticals, Biotechnology and Genomics (GMO/Hybrids) Products, Factory Automation Equipment, General Semiconductors, Industrial Machine Parts and Support Equipment, Processor Semiconductors, Specialized Semiconductors, Programmable Logic and ASIC Semiconductors, Household Robotics, Surgical Robotic Systems, Car Manufacturers, Auto Interior Comfort/Safety/Electronics Products, Fuel Cell Equipment and Technology Providers, Heavy-Duty and High-End Batteries Manufacturing, Video Multimedia Semiconductors, General Analog and Mixed Signal Semiconductors, Carrier Edge Network Management Equipment, Customer Premises Network Security Equipment, Colocation and Data Center Services, Government IT Services, Network Security Access Policy Software, Network



Security Software, Virtual Reality Design and Engineering Software, Home and Office Virtual Reality Software, Virtual Reality Equipment, Wireless Services, Other Network Software, Network Administration Software, Diversified Technology Hardware, General Communications Equipment and Other Communications Semiconductors.

[...]

To (new version):

[...]

2. Companies must be classified under any of the following FactSet Revere Business Industry Classification System ("RBICS") sub-industries:

Communication and Collaboration Content Sites, Web Search Sites and Software, Enterprise Management Software, Blockchain Technology, Cryptocurrency Mining, Cryptocurrency Trading / Exchanges, Hematological Oncology Biopharmaceuticals, Other Oncology Biopharmaceuticals, Biotechnology and Genomics (GMO/Hybrids) Products, Factory Automation Equipment, General Semiconductors, Industrial Machine Parts and Support Equipment, Processor Semiconductors, Specialized Semiconductors, Programmable Logic and ASIC Semiconductors, Household Robotics, Surgical Robotic Systems, Car Manufacturers, Auto Interior Comfort/Safety/Electronics Products, Fuel Cell Equipment and Technology Providers, Heavy-Duty and High-End Batteries Manufacturing, Video Multimedia Semiconductors, General Analog and Mixed Signal Semiconductors, Carrier Edge Network Management Equipment, Customer Premises Network Security Equipment, Colocation and Data Center Services, Government IT Services, Network Security Access Policy Software, Network Security Software, Wireless Services, Other Network Software, Network Administration Software, Diversified Technology Hardware, General Communications Equipment and Other Communications Semiconductors, Electronic Payment Processing, Financial and Compliance ERP Software, Commercial Bank and Credit Union Software, Insurance Software, Other Finance Industry Software, Peer-to-Peer Lending Investment Management/Brokerage Software.

[...]

2. Section: 2.2 Selection of the Index Components

From (old version):

[...]

Index Category	Industries
Blockchain	Blockchain Technology Cryptocurrency Mining Cryptocurrency Trading / Exchanges



<p>Cloud Computing & Big Data</p>	<p>Enterprise Management Software Internet Hosting Services Network Infrastructure Software Communications Infrastructure Software Data Storage Infrastructure Software Diversified IT Infrastructure Software Data Storage Drives and Peripherals Information and Disk Storage Systems Multi-Type Data Storage Hardware Makers Web Search Sites and Software General and Mixed-Type Software Productivity Software Internet Department Stores</p>
<p>Cyber Security</p>	<p>Carrier Edge Network Management Equipment Customer Premises Network Security Equipment Colocation and Data Center Services Government IT Services Network Security Access Policy Software Network Security Software</p>
<p>Future Cars</p>	<p>Alternative Energy Car Manufacturers Multi-Type Car Manufacturers Auto Interior Comfort/Safety/Electronics Products Fuel Cell Equipment and Technology Providers Heavy-Duty and High-End Batteries Manufacturing Video Multimedia Semiconductors General Analog and Mixed Signal Semiconductors</p>
<p>Genomics</p>	<p>Hematological Oncology Biopharmaceuticals Other Oncology Biopharmaceuticals Biotechnology and Genomics (GMO/Hybrids) Products</p>



	<p>Diversified Bioanalytical Instruments</p> <p>Analytical and Bioanalytical Services</p> <p>Bioanalytical Consumables</p> <p>Genetic Molecular Diagnostic Test Kits</p>
Robotics & Automation	<p>Factory Automation Equipment</p> <p>General Semiconductors</p> <p>Industrial Machine Parts and Support Equipment</p> <p>Processor Semiconductors</p> <p>Specialized Semiconductors</p> <p>Programmable Logic and ASIC Semiconductors</p> <p>Household Robotics</p> <p>Surgical Robotic Systems</p>
Video Gaming	<p>Electronic Gaming/Entertainment Electronics Makers</p> <p>Console Games Software Index Guideline 10</p> <p>Handheld and Smart Phone Games Software</p> <p>Online Game Websites and Software</p> <p>Other Games Software</p>
Virtual/Augmented Reality	<p>Virtual Reality Design and Engineering Software</p> <p>Home and Office Virtual Reality Software</p> <p>Virtual Reality Equipment</p>
5G	<p>Wireless Services</p> <p>Other Network Software</p> <p>Network Security Software</p> <p>Network Administration Software</p> <p>Network Security Access Policy Software</p> <p>Diversified Technology Hardware</p> <p>General Communications Equipment</p> <p>Other Communications Semiconductors</p>

[...]



To (new version):

[...]

Index Category	Industries
Fintech	Blockchain Technology Cryptocurrency Mining Cryptocurrency Trading / Exchanges Electronic Payment Processing Financial and Compliance ERP Software Commercial Bank and Credit Union Software Insurance Software Other Finance Industry Software Peer-to-Peer Lending Investment Management/Brokerage Software
Cloud Computing & Big Data	Enterprise Management Software Internet Hosting Services Network Infrastructure Software Communications Infrastructure Software Data Storage Infrastructure Software Diversified IT Infrastructure Software Data Storage Drives and Peripherals Information and Disk Storage Systems Multi-Type Data Storage Hardware Makers Web Search Sites and Software General and Mixed-Type Software Productivity Software Internet Department Stores
Cyber Security	Carrier Edge Network Management Equipment Customer Premises Network Security Equipment Colocation and Data Center Services



	<p>Government IT Services</p> <p>Network Security Access Policy Software</p> <p>Network Security Software</p>
Future Cars	<p>Alternative Energy Car Manufacturers</p> <p>Multi-Type Car Manufacturers</p> <p>Auto Interior Comfort/Safety/Electronics Products</p> <p>Fuel Cell Equipment and Technology Providers</p> <p>Heavy-Duty and High-End Batteries Manufacturing</p> <p>Video Multimedia Semiconductors</p> <p>General Analog and Mixed Signal Semiconductors</p>
Genomics	<p>Hematological Oncology Biopharmaceuticals</p> <p>Other Oncology Biopharmaceuticals</p> <p>Biotechnology and Genomics (GMO/Hybrids) Products</p> <p>Diversified Bioanalytical Instruments</p> <p>Analytical and Bioanalytical Services</p> <p>Bioanalytical Consumables</p> <p>Genetic Molecular Diagnostic Test Kits</p>
Robotics & Automation	<p>Factory Automation Equipment</p> <p>General Semiconductors</p> <p>Industrial Machine Parts and Support Equipment</p> <p>Processor Semiconductors</p> <p>Specialized Semiconductors</p> <p>Programmable Logic and ASIC Semiconductors</p> <p>Household Robotics</p> <p>Surgical Robotic Systems</p>
Video Gaming	<p>Electronic Gaming/Entertainment Electronics Makers</p> <p>Console Games Software Index Guideline 10</p> <p>Handheld and Smart Phone Games Software</p> <p>Online Game Websites and Software</p>



	Other Games Software
5G	Wireless Services Other Network Software Network Security Software Network Administration Software Network Security Access Policy Software Diversified Technology Hardware General Communications Equipment Other Communications Semiconductors

[...]

3. Section: 6 Definitions

"Index Category"

From (old version):

"INDEX CATEGORY" is any of the following: Blockchain, Cloud Computing & Big Data, Cyber Security, Future Cars, Genomics, Robotics & Automation, Virtual/Augmented Reality, 5G, Video Gaming

To (new version):

"INDEX CATEGORY" is any of the following: Fintech, Cloud Computing & Big Data, Cyber Security, Future Cars, Genomics, Robotics & Automation, 5G, Video Gaming.



Feedback on the Proposed Changes

If you would like to share your thoughts with Solactive, please use this consultation form and provide us with your personal details and those of your organization.

Name	
Function	
Organization	
Email	
Phone	
Confidentiality (Y/N)	

Solactive is inviting all stakeholders and interested third parties to evaluate the proposed changes to the Methodology for the Solactive Global Innovation Index and welcomes any feedback on how this may affect and/or improve their use of Solactive indices.

Consultation Procedure

Stakeholders and third parties who are interested in participating in this Market Consultation, are invited to respond until 02/04/2021(cob).



Subject to feedback received on this Market Consultation, the changes mentioned above are intended to become effective on 08/04/2021.

Please send your feedback via email to compliance@solactive.com, specifying "Market Consultation- Solactive Global Innovation Index" as the subject of the email, or

via postal mail to: Solactive AG
Platz der Einheit 1
60327 Frankfurt am Main
Germany

Should you have any additional questions regarding the consultative question in particular, please do not hesitate to contact us via above email address.

CONTACT

Solactive AG

German Index Engineering

Platz der Einheit 1

60327 Frankfurt am Main

Germany

Tel.: +49 (0) 69 719 160 00

Fax: +49 (0) 69 719 160 25

Email: info@solactive.com

Website: www.solactive.com

© Solactive AG