

MARKET CONSULTATION SOLACTIVE – PRESIDENTIAL ORDER – CHINESE COMPANIES

17 December 2020



UPDATE (30TH DECEMBER 2020)

The following list includes securities impacted by the ORDER (as defined below) and contained in Solactive indices and is intended to support our stakeholders, index users and interested third parties in their assessment of the ORDER and preparation of their feedback. It only includes equity securities issued by the entities explicitly named in the ORDER, but not any subsidiaries or affiliated companies. Solactive will continue to monitor for any updates to the list of named entities, further clarifications on the scope of the ORDER as it pertains to affiliates, and any other relevant matter.

Name (Presidential Order)	Share Type	Ticker	Ric	Equity Ticker[ji]	Reuters Name	SEDOL	ISIN
China Communications Construction Company (CCCC)	A-Share Offshore	601800	601800.SH	601800 CH	China Communications Construction Co Ltd	BP3R3C5	CNE100001FN5
	H-Share	1800	1800.HK	1800 HK	China Communications Construction Co Ltd	B1JKTQ6	CNE1000002F5
China Railway Construction Corporation (CRCC)	A-Share Onshore	601186	601186.SS	600118 CH	China Railway Construction Corp Ltd	B2PPPG4	CNE1000009T1
	A-Share Offshore	601186	601186.SH		China Railway Construction Corp Ltd	BP3R381	CNE1000009T1
	H-Share	1186	1186.HK	1186 HK	China Railway Construction Corp Ltd	B2PFVH7	CNE100000981
China Spacesat	A-Share Offshore	600118	600118.SH	600118 CH	China Spacesat Co Ltd	BP3R5Y1	CNE000000SM3
China United Network Communications Group Co Ltd	A-Share Onshore	600050	600050.SS	600050 CH	China United Network Communications Ltd	6547998	CNE000001CS2
	A-Share Offshore	600050	600050.S H		China United Network Communications Ltd	BP3R2X9	CNE000001CS2
CRRC Corp	A-Share Offshore	601766	601766.SH	600050 CH	CRRC Corp Ltd	BP3R358	CNE100000CP9
	H-Share	1766	1766.HK	1766 HK	CRRC Corp Ltd	B2R2ZC9	CNE100000BG0
Dawning Information Industry Co (Sugon)	A-Share Offshore	603019	603019.SH	603019 CH	Dawning Information Industry Co Ltd	BZ0D207	CNE100001TW7



Hangzhou Hikvision Digital Technology Co., Ltd. (Hikvision)	A-Share Offshore	002415	002415.ZK	002415 CH	Hangzhou Hikvision Digital Technology Co Ltd	BD5CPQ2	CNE100000PM8
Panda Electronics Group	A-Share Offshore	600775	600775.SH	600775 CH /	Nanjing Panda Electronics Co Ltd	BP3R1W1	CNE000000NB7
	H-Share	553	0553.HK	553 HK	Nanjing Panda Electronics Co Ltd	6623371	CNE1000003T4
Semiconductor Manufacturing International Corp. (SMIC)	H-Share	981	0981.HK	981 HK	Semiconductor Manufacturing International Corp	BDFBM13	KYG8020E1199

CONTENT OF THE MARKET CONSULTATION

Solactive AG has decided to conduct a Market Consultation regarding US Presidential “Executive Order on Addressing the Threat from Securities Investments that Finance Communist Chinese Military Companies”; issued on November 12, 2020 (the “**ORDER**”). The ORDER will prohibit the purchase of publicly traded securities of certain Chinese companies from January 11, 2021.

RATIONALE FOR MARKET CONSULTATION

The ORDER directly effects several instruments that are currently members of Solactive indices. We invite our stakeholders, index users and interested third parties to provide feedback on the treatment of Solactive indices because of this ORDER.

- Please provide your opinion on the effect of this order on your investment processes.
- Would you foresee that any change to index composition is required or could the introduction of new “US friendly” indices be desirable addition?
- We are interested to ensure that products related to Solactive indices remain investible, and replicable while maintaining our offering of a broad investible universe to a global client base and reducing unnecessary/undesirable turnover of constituents.
- While the ORDER is directed towards US investors, Solactive AG would be interested to understand the impact on non-US investors, is it likely they will also take a similar investment approach.

CONSULTATION PROCEDURE

Stakeholders, index users and third parties who are interested in participating in this Market Consultation, are invited to respond until 4th January 2021; 12:00 (noon) local time in Frankfurt / Germany.



Please send your feedback via email to compliance@solactive.com, specifying "MARKET CONSULTATION SOLACTIVE – PRESIDENTIAL ORDER – CHINESE COMPANIES" as the subject of the email. Please indicate in your email if you want us to treat your feedback confidential.

Should you have any additional questions regarding the consultative question, please do not hesitate to contact us via above email address.

CONTACT

Solactive AG
German Index Engineering

Platz der Einheit 1
60327 Frankfurt am Main
Germany

Tel.: +49 (0) 69 719 160 00

Fax: +49 (0) 69 719 160 25

Email: info@solactive.com

Website: www.solactive.com

© Solactive AG