

## Press Release

### Solactive launches the Adaptive Wealth Strategies U.S. Factor index combining three strategies in one index

29 August 2018

Solactive is pleased to announce the launch of the Adaptive Wealth Strategies U.S. Factor Index which dynamically allocates across three sub-indices with exposure to U.S. equities in the large and mid-cap segment and exhibit characteristics of one of three primary factors: value, momentum and low volatility. The index has been developed together with Adaptive Wealth Strategies, a division of Carroll Financial Associates, based on their existing strategy and will be the underlying for the new Global X Adaptive U.S. Factor ETF.

The Adaptive Wealth Strategies U.S. Factor Index gives investors a dynamic investment approach to multiple investment factors in one product.

The index will dynamically allocate across the following three Solactive factor indices:

- Solactive U.S. Large & Mid-Cap Value 100 Index TR (ISIN: DE000SLA5ZZ6)
- Solactive U.S. Large & Mid-Cap Momentum 100 Index TR (ISIN: DE000SLA5Z09)
- Solactive U.S. Large & Mid-Cap Minimum Downside Volatility 100 Index TR (ISIN: DE000SLA5Z33)

The weighting is determined by the performance of each sub-index in the past 2 years.

Timo Pfeiffer, Head of Research at Solactive, commented: "*The Adaptive Wealth Strategy is a very innovative multi-factor model that combines different sub-strategies such as Minimum Downside Volatility - focusing on negative returns only - in one model. It is great to see this concept being put into an index format and made investable for US clients.*"

Kristopher Carroll, Chief Investment Officer at Carroll Financial, commented: "*We recognize that we could improve our adaptive factor strategy by combining minimum volatility, value, and momentum into one index. We have utilized a strategy of combining these three separate factors in our private portfolios for many years, and have now decided to turn what were once three separate positions, into one by utilizing the ETF structure. We are excited to work with Solactive AG and Global X, to bring our strategy wrapped in an efficient structure to the broader market.*"

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For further information, please visit: <http://www.solactive.com/research>

For further information about Adaptive Wealth Strategies visit: [www.adaptivewealthstrategies.com](http://www.adaptivewealthstrategies.com)

Note to editors

### About Solactive

Solactive AG is an innovative index provider that focuses on the development, calculation and distribution of tailor-made indices across all asset classes. As at January 2018, Solactive AG served approximately 400 clients in Europe, America and Asia, with approximately USD 200 billion invested in products linked to indices calculated by the company globally, primarily via 350 exchange-traded funds from a number of well-known providers. Solactive AG was established in 2007 and is headquartered in Frankfurt.

### About Adaptive Wealth Strategies

Adaptive Wealth Strategies is an investment division at Carroll Financial, our philosophy is that the interest of the client is the only interest that matters. Established in 1980, we are one of the Charlotte region's oldest and largest independent comprehensive financial planning and wealth management firms. Our 23 financial advisors offer many years of experience and customized financial planning to our clients. Our unique investment approach is focused on five core sectors: simplicity, risk, income, diversification, and price. Our clients benefit from our adaptive investment strategy constructed on a solid academic foundation.

### Disclaimer

The information in this document does not constitute tax, legal or investment advice and is not intended as a recommendation for buying or selling securities. Solactive AG and all other companies mentioned in this document are not responsible for the consequences of reliance upon any opinion or statement contained herein or for any omission.

Investing involves risk, including the possible loss of principal. There is no guarantee that the Fund will achieve a high degree of correlation to the Underlying Index and therefore achieve its investment objective.

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Market disruptions and regulatory restrictions could have an adverse effect on the Fund's ability to adjust its exposure to the required levels in order to track the Underlying Index. Indices are unmanaged and do not include the effect of fees, expenses or sales charges. One cannot invest directly in an index. Performance of companies in the financial sector may be adversely impacted by many factors, including, among others, government regulations, economic conditions, credit rating downgrades, changes in interest rates, and decreased liquidity in credit markets. AUSF is non-diversified.

AWS is a department of Carroll Financial Associates, Inc., a Registered Investment Advisor. Registration does not imply a certain level of skill or training. Carroll Financial and AWS are not affiliated with any other named party. Global X Management Company LLC is not affiliated with AWS or Carroll Financial Associates, Inc.

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